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1	Development of an open-source algorithm based on inertial
2	measurement units (IMU) of a smartphone to detect cattle
3	grass intake and ruminating behaviors.
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19	
20	Results were partially presented at the International Conference on Precision Agriculture
21	(Sacramento, USA, July 2014) and the European Conference on Precision Livestock Farming
22	(Milan, Italy, September 2015) and published in the respective conference proceedings.

#### 24 Abstract

25 In this paper, an open algorithm was developed for the detection of cattle's grass intake and rumination activities. This was done using the widely available inertial measurement unit 26 27 (IMU) from a smartphone, which contains an accelerometer, a gyroscope, a magnetometer 28 and location sensors signals sampled at 100 Hz. This equipment was mounted on 19 grazing 29 cows of different breeds and daily video sequences were recorded on pasture of different 30 forage allowances. After visually analyzing the cows' movements on a calibration database, 31 signal combinations were selected and thresholds were determined based on 1-second time 32 windows, since increasing the time window did not increase the accuracy of detection. The 33 final algorithm uses the average value and standard deviation of two signals in a two-step 34 discrimination tree: the gravitational acceleration on x-axis (Gx) expressing the cows' head movements and the rotation rate on the same x-axis (Rx) expressing jaw movements. 35 36 Threshold values encompassing 95% of the normalized calibrated data gave the best results. 37 Validation on an independent database resulted in an average detection accuracy of 92% with 38 a better detection for rumination (95%) than for grass intake (91%). The detection algorithm 39 also allows for characterization of the diurnal feeding activities of cattle at pasture. Any user 40 can make further improvements, for data collected at the same way as the iPhone's IMU has 41 done, since the algorithm codes are open and provided as supplementary data.

42

43 <u>Keywords</u>: dairy cattle, grass intake, behaviors, inertial measurement unit, open algorithm.

44

#### 45 Highlights

46 - An iPhone 4S can be used to automatically monitor the behavior of grazing cattle using its
47 built-in inertial measurement unit (IMU).

- 48 A Boolean classification tree using the IMU signals in the phone reached similar accuracies
- 49 for grass intake and ruminating to already available devices
- 50 The classification algorithm codes have been made available to any user for further
- 51 development.
- 52

#### 53 **1. Introduction**

54 Over the past decade precision livestock farming (PLF) has been developed for use on 55 commercial farms and several tools are now available in animal monitoring applications. 56 Recent technological developments have eased the use of sensors to monitor many physical 57 variables both for animal science research and in practical farm level applications 58 (Berckmans, 2014). Many researchers now focus on analyzing behaviors using sensor-based 59 technologies and various data analysis approaches (Andriamandroso et al., 2016). Monitoring 60 the specific behaviors of ruminants, particularly grazing and rumination, is important because 61 these behaviors occupy much of the grazing cattle's time-budget. However, duration varies 62 greatly: over a 24-hours period, grazing occupies 25% to 50% of cow's daily time-budget and 63 rumination 15% to 40% (Kilgour, 2012).

64 The ability of sensors to detect cattle behaviors though movements is based on recording three65 main parameters:

location, using mainly global positioning system (GPS) and geographic information
system (GIS) (e.g. Ganskopp & Johnson, 2007; Swain et al., 2008);

posture of the animal, which is the low frequency component of behavior such as the
position of the head or back (e.g. Poursaberi et al., 2010; Viazzi et al., 2013);

movements, which are the high frequency elements of a given behavior (e.g., Rutter et
al., 1997; Nydegger et al., 2010).

Different types of sensors have been tested to record these parameters and can be used either alone or in combination. GPS and its incorporation into GIS is generally used to track wild (e.g. Forin-Wiart et al., 2015) and domestic animals (e.g. de Weerd et al., 2015), and, using changes in path speed, to detect unitary behaviors, such as grazing, resting and walking. Nevertheless, successful behavior classification remains poor varying between 71 and 86% calculated from 3-minutes data segments (Schlecht et al., 2004; Godsk & Kjærgaard, 2011; 78 Larson-Praplan et al., 2015). Other types of sensors, which measure pressure or changes in electrical resistances, have pioneered movement analysis by focusing on jaw types to detect 79 80 chewing behaviors. This has led to correct classification of eating and ruminating behaviors 81 with over 91% of exactness based on 5-minutes time windows (for example, IGER Behaviour 82 recorder, Rutter et al., 1997 and ART-MSR by Nydegger et al., 2010). Acoustic sensors 83 (microphones) use sounds made by jaw movements and swallowing/deglutition to 84 differentiate grazing and ruminating which have been successfully detected at a rate of 94% 85 based on 1 to 5-minutes time windows (Clapham et al., 2011; Navon et al., 2013; Benvenutti et al., 2015). Movement measurements that detect or quantify animal behaviors now mostly 86 87 use accelerometers.

88 Pressure and tension-based sensors seem to have yielded the highest possible information they can provide on feeding behavior or estimated intake (Nydegger et al., 2010, Pahl et al., 2015, 89 90 Leiber et al., 2016) and acoustic sensors suffer from interferences with other animals (Ungar 91 & Rutter, 2006). Therefore, accelerometers seem the most promising tool for PLF applications for research relative to grazing cattle (Andriamandroso et al., 2016). Behavior 92 93 classification precisions from accelerometers differ according to the recording frequency 94 (commonly varying between 0.1 and 20 Hz), to the method used for data processing and to 95 the objective. For example, accelerometers are successfully used in the automated detection of 96 lame animals. Based on a descriptive statistical classification method, lame and non-lame 97 cows can be correctly classified with an average precision of 91% using data analysis with 10-98 seconds time windows (Mangweth et al., 2012). Detection of other behaviors such as walking, 99 standing or lying, with accelerometers placed on the neck (e.g. Martiskainen et al., 2009), legs 100 (e.g. Robert et al., 2009; Nielsen et al., 2010) or ears (Bikker et al., 2014) is accurate to 101 between 29% and 99% using machine learning (Martiskainen et al., 2009) or a classification

tree method (Robert et al., 2009; Nielsen et al., 2010) with 5-seconds to 5-minutes timewindows.

104 Other methods have combined different kinds of sensors to increase detection precision. For 105 example, González et al. (2015) combined GPS and accelerometers to achieve an overall 106 correct classification of grazing behaviors between 85 and 91% using a decision tree and 107 based on the analysis of 10-seconds time windows. Dutta et al. (2015) combined 108 accelerometers with magnetometers to reach precisions ranging between 77% and 96% with 109 different supervised classification methods on 5-seconds time windows such as binary tree, 110 linear discriminant analysis, naïve Bayes classifier, k-nearest neighbor and adaptive neuro-111 fuzzy inference.

112 Nonetheless, because all these methods are either based on black-box statistical approaches or 113 in-lab made prototype devices, an open detection algorithm that can be easily used for 114 research purposes across various grazing conditions is not yet available. Commercial PLF 115 systems designed for on-farm use incorporate accelerometers and gyroscopes that are similar, 116 if not identical, to the ones used in smartphones. However, these commercial systems are 117 designed for on-farm use and generally do not provide raw data that can be used by PLF 118 researchers. Invariably, they also sample accelerometers at a fixed rate limiting the potential 119 for data mining for ruminant ethology, especially that related to feeding behavior on pasture.

By offering an open method for the detection of grazing cattle behaviors that can be shared, this paper proposes a flexible platform for PLF researchers to collect accelerometer data and process it to extract useful behavior information. The algorithm should comply with three criteria: (1) be based on an open approach in order to allow further development and improvement by users, (2) be valid across a wide range of grazing conditions regarding both the animal as well as the pasture condition, and (3) using sensors that are easily available to users without any need for hardware development. For the third criteria, the choice was made

127 to work with the inertial measurement unit (IMU) of an iPhone (Apple, Cupertino, CA, USA). 128 IMUs generally comprised two or three sensors which measure velocity, orientation and 129 gravitational force using an accelerometer for inertial acceleration and gyroscopes for angular 130 rotation. In recent devices, a magnetometer has also been added to measure magnetic 131 deviation and improve gyroscopic measurements. After internal calibration, IMUs can 132 measure many physical parameters within three axis, such as linear acceleration, rotation 133 angle (pitch, roll, and yaw) and angular velocity (Ahmad et al., 2013). To fulfill our objective, 134 the work was divided into (1) assessing the individual and combined capabilities of IMU-135 acquired signals to detect cattle movements on pasture, and (2) constructing and evaluating a 136 decision tree based on a simple Boolean algorithm to classify grass intake and rumination 137 unitary behaviors.

138

139 **2.** Material and methods

All experimental procedures performed on the animals were approved by the Committee for Animal Care of the University of Liège (Belgium, experiment n°14-1627). Measurements were carried out over three years between 2012 and 2015, in four different locations in Wallonia (Belgium) and with different breeds in order to achieve a more representative and variable dataset.

145 **2.1.** Animals

146 A total of 19 cows of different breeds across four different farms were used, aged 147 between 4 to 12 years, and with estimated weights between 450 and 650 kg:

- 9 dry red-pied Holstein (Gembloux, Gembloux Agro-Bio Tech, University of Liège
  experimental farm, 50°33'54.6"N 4°42'04.6"E, GBX);
- 2 black-pied Holstein (Liège, Faculty of Veterinary science, University of Liège
  experimental farm, 50°34'45.4"N 5°35'14.1"E, FVS);

- 2 Blonde d'Aquitaine x Belgian White and Blue cross-bred (Corroy-le-Grand,
 commercial farm, 50°39'43.4"N 4°40'43.0"E, CLG);

- 6 Belgian White and Blue cows (Dorinne, commercial farm, 50°18'43.9"N
4°57'58.1"E, DOR and Tongrinne, commercial farm, 50°30'37.4"N 4°36'12.6"E,
TON).

157

158 **2.2.** *Materials* 

159 Each cow was fitted with a halter containing an iPhone 4S (Apple, Cupertino, CA, 160 USA) inside a waterproof box (Otterbox Pursuit series 20,  $152.4 \times 50.8 \times 101.6$  mm, 142 g, 161 Otter Products, LLC, USA) (Figure 1B). Each mobile phone was equipped with an 162 application (SensorData, Wavefront Labs) downloaded from Apple Store (Apple, Cupertino, 163 CA, USA) which captures and stores data from the IMU of the iPhone at 100Hz. The IMU of 164 the iPhone 4S uses STMicro STM33DH 3-axis as an accelerometer, STMicro AGDI 3-axis as 165 a gyroscope (STMicroelectronics, Geneva, Switzerland) and AKM 8963 3-axis electronic 166 compass as a magnetometer (Asahi Kasei Microdevices Corporation, Tokyo, Japan). 167 To extend the data recording duration from 8 to 24 hours, the original 3.7V 1420mAh Li-

Polymer battery was connected to an additional external battery (Anker Astro E5 16000mAh portable charger,  $150 \times 62 \times 22$  mm, 308 g, Anker Technology Co. Limited, CA, USA) and

170 attached as a collar around the neck of the animal (Figure 1C).



(1) Box containing the iPhone, (2) Halter, (3) Bag containing a supplementary battery

172 <u>Figure 1</u>: Inertial measurement unit (IMU) device description, (A) IMU 3-D axis 173 representation on a grazing cow, x-axis is aligned with the tail to head symmetry axe of the 174 animal, y-axis describes lateral movements, and z-axis gives up and down movements; (B) 175 iPhone 4S and its IMU placed in a waterproof box; (C) all equipment components including 176 the iPhone box (1), the halter (2) and the supplementary battery (3).

177

178 Choice of this anatomical position was made because it has already proved effective in 179 detecting cattle behaviors(e.g. Martiskainen et al., 2009), ensured minimal disturbance to the 180 animal, and limited risk of the animal removing or damaging the device by scratching or 181 smashing. Velcro tape was stitched on each halter and the waterproof box fixed onto the 182 halter using Velcro straps as shown on Figure 1C.

The SensorData application captures acceleration and gyroscope data along three axes (as
showed in Figure 1B) as well as magnetometric and GPS information, providing a total of 40
signals (Table 1).

- 186 <u>Table 1 :</u> List of signals captured by the iPhone 4S using SensorData application (Wavefront
- 187 Labs).

Sensors	Measured signals	Unit
Accelerometer	Acceleration on x (Ax), y(Ay) and z (Az)	g <sup>1</sup>
Gyroscope	Euler angles (pitch x, roll y, yaw z)	radian
	Attitude quaternion on x, y, z and w (Qx, Qy, Qz, Qw)	radian
	Rotation matrix (3×3 matrix of rotation)	
	Gravitational component of acceleration (Gx,Gy,Gz)	g
	User component of acceleration (Ux,Uy,Uz)	g
	Rotation rate (Rx,Ry,Rz)	radian.s <sup>-1</sup>
Magnetometer	Magnetic data (x,y,z)	μTesla
	Magnetic and true heading	degrees
Location	Latitude and longitude	degrees
	Altitude and accuracies	m
	Course	degrees
	Speed	m. s <sup>-1</sup>
	Proximity sensor	not defined

188  $^{1}$  g, acceleration of gravity (g=9.81 m.s<sup>-2</sup>)

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- 190

# 2.3. Data acquisition, calibration and validation of the detection algorithm

The Figure 2 illustrates the whole process from observations to algorithm validation.
This comprised four major steps: (1) data acquisition, (2) animal observation through

- 193 recorded videos, (3) calibration and construction of a behavior detection algorithm and finally
- 194 (4) its validation.
- 195



197 <u>Figure 2:</u> From observation to detection algorithm: summary of the 4-steps process used for
198 the construction of cattle behavior detection algorithm.

199

# 200 **2.3.1.** Data acquisition

The algorithm development began by constructing a behavior database that combined visual observations and related measured signals. For this purpose, animals wearing the equipment were set to graze ryegrass (*Lolium perenne*) and white clover (*Trifolium repens*)based pastures, while being video recorded as reference for behavior detection. The mobile devices' IMU and the operators' video cameras were time synchronized beforehand for 206 further data analysis. In the experimental farm (GBX), three data acquisition sessions were 207 performed over three years. The first, fall 2012 and spring 2013, were performed on two red-208 pied dry Holstein cannulated cows (RPc1 and RPc2) grazing a 0.19 hectare pasture, 209 disregarding sward characteristics. The second session, summer and fall 2014, was performed 210 on 1.4 hectare pasture with four red-pied Holstein dry cows (RP1, RP2, RP3 and RP4), with 211 three pre-grazing forage allowances measured using a rising plate meter with an in-house calibration (1000, 2000 and 3000 kgDM.ha<sup>-1</sup>). Finally, in summer and fall 2015, a third data 212 213 acquisition session was performed on seven red-pied Holstein dry cows (RP1 to RP4 and 214 RP5, RP6, RP7) on 1.4 ha-pastures with two pre-grazing forage allowance (1000 and 3000 kgDM.ha<sup>-1</sup>). 215

Four additional data recording sessions were performed in commercial and experimental farms with ten cows (dry and in milk) in four different locations (DOR1 and DOR2 in fall 2013, CLG1 and CLG2 in summer 2014, FVS1 and FVS2 in summer 2014, TON1, TON2, TON3 and TON4 in fall 2015). These were with Belgian White and Blue, Holstein and Blonde d'Aquitaine pure or crossbred cows as indicated above.

221 A total of 106 videos of 15 to 30 minutes were obtained from all these periods and used to 222 calibrate and validate the detection algorithm. For each animal, video sequences where shot in 223 daylight in such a way that they covered all desired behaviors. No video was shot at night. For 224 each video, a coded behavior matrix was built using CowLog 2.0 (Hänninen & Pastell, 2009) 225 at a frequency of 1 Hz, i.e. every second, and the behavior vector was synchronized and 226 merged with the corresponding signal matrix obtained with the IMU. Following the definition 227 of Gibb (1996), observed behaviors from the videos were coded as grass intake (GRA) when 228 the animal was acquiring herbage into the mouth. GRA comprises acquisition of herbage into 229 the mouth, its mastication and subsequent swallowing, short periods of searching or moving 230 from a feeding station to another are not considered as in this activity. Behaviors were coded

RUM when the animal was ruminating, either standing or lying including bolus mastication, as well as bolus regurgitation and swallowing. Activities not corresponding to either GRA or RUM were coded as OTHERS, and included standing and walking without grazing, resting, drinking, grooming, social activities, etc. During each video sequence, only three different behaviors (GRA, RUM, OTHERS) were coded.

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#### 2.3.2. Methods for data analysis

238 The complete dataset was then divided into two, one for calibrating the detection 239 algorithm exclusively and the other for its validation. Seven video sequences were chosen 240 from each period of data collection and used for calibrations (for grazing, RPc1 in fall 2012, 241 RPc2 in fall 2012, RP5 in fall 2014 and CLG1 in summer 2014 ; for rumination, RP5 in 242 summer 2014 and CLG1 in summer 2014). The other 99 sequences were used to validate the 243 algorithm by comparing detected behaviors with observations from the videos. Signal 244 analyses were performed in MatLab R2013b (Mathworks, NL) and followed the steps 245 explained in the next section, illustrated in Figure 2.

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248

# a) <u>Data preprocessing and choice of the signals describing GRA and RUM</u> movements on pasture

First, the choice of the signal was based on the observation of cattle posture and movements decomposed into head and jaw movements (HM and JM). Animal movements were observed on the 7 calibration database videos and their translation into IMU signals was then assessed. The hypothesis is that GRA and RUM behaviors combine different HM and JM. Grazing is characterized by the head being down with active JM, while during rumination the head is slightly raised and JM are quieter and more regular (Vallentine, 2001). In order to differentiate GRA from RUM, these parameters for HM and JM were chosen to describe how 256 movements are translated into signals along the 3 axes of the IMU. To reduce signal noise 257 before further analysis, HM magnitude along the 3 axes was normalized using 'min-max 258 normalization' (E1 in Table 2, Kotsiantis et al., 2006). This normalization transformed each 259 recorded signal value into a value between 0 and 1, and also allowed minimized the biases of 260 morphological difference amongst cows and differences in the positioning of the IMU on the 261 animal. For JM, signal data was filtered between 1 and 2 Hz to isolate repetitive JM searched 262 during GRA and RUM. This frequency range was isolated by a second order Butterworth 263 bandpass filter (E2 in Table 2). Finally, in order to limit the number of combination that were 264 to be tested in the development of the detection algorithm, a cluster and histogram analysis of the signals along the 3 axes was used to select the signals expressing the highest 265 266 discrimination potential between GRA and RUM.

Parameters	Equation							
Data pre-processing								
Normalization	E1 = [input – minimum(input)]/[maximum(input) –							
(E1)	minimum(input)]							
Filter design (E2)	<u>Parameters:</u> [b,a] = butter (order, [frequency							
	minimum/(sampling_frequency/2) frequency							
	maximum/(sampling_frequency/2)], 'bandpass')							
	<u>Filtering</u> : filtered signal = filter (b, a, input signal)							
Algorithm quality evaluation								
True positive (TP)	A behavior is correctly detected as it is in the observation							
True negative	A behavior is correctly undetected as it is in the observation							
(TN)								

268 <u>T</u>	<u>'able 2:</u> Data p	pre-processing	and algorithm	quality	evaluation	criteria
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False positive (FP)	A behavior is incorrectly detected as another behavior (type l						
	error)						
False negative	Another behavior is incorrectly detected instead of the right						
(FN)	behavior (type II error)						
Sensitivity (Se)	Se = TP x 100 / (TP + FN)						
Specificity (Sp)	Sp = TN x 100 / (TN + FP)						
Precision (P)	$\mathbf{P} = \mathbf{TP} \mathbf{x} \ 100 \ / \ (\mathbf{TP} + \mathbf{FP})$						
Accuracy (A)	$A = (TP + TN) \times 100 / (TP + FP + TN + FN)$						

# 270

#### b) Thresholds determination, time windows and detection algorithm

271 Following the step described above, nine acceleration and gyroscope signals were considered out of 40 candidate signals: the 3-D gravitational component of the acceleration 272 (G), the 3-D user component of the acceleration (G), the 3-D rotation rate (rad. $s^{-1}$ ), each on 273 274 the three axes. Data from the seven calibration database sequences were merged. Descriptive 275 statistics were calculated for each of the 9 signals considered for each of the 3 behaviors being 276 discriminated: GRA, RUM and OTHERS. To allow detection of activity change at a high rate, 277 minimum and maximum values were calculated for each signal to encompass 80% (from 278 percentile 0.100 to percentile 0.900), 90% (from percentile 0.050 to percentile 0.950), 95% 279 (from percentile 0.025 to percentile 0.975), and 99% (from percentile 0.005 to percentile 280 0.995) of the data for both the mean and the standard deviation calculated over the shortest 281 time window possible (i.e. 1-second). Mean was calculated to determine the average position 282 of the head of the animal when moving to perform GRA or RUM while standard deviation 283 was calculated to detect changes in the signal during GRA or RUM expressing in particular 284 differences in jaw movements: intensive for GRA and non-intensive for RUM. Indeed, while signal sampling was performed at 100 Hz, behavior observation using video recordings was 285

done at 1 Hz (i.e. each second). These minimum and maximum values encompassing 80, 90, 95 and 99% of the data were then used as thresholds to discriminate behaviors in the tested algorithms, combining different signals as described before. For this purpose, simple Boolean algorithms were built (shown in Figure 3), in the form of a one- or two-step decision tree based on different signal combinations and minimum/maximum threshold values. The ability of each Boolean algorithm to discriminate behaviors was assessed.





Figure 3: Structure of a Boolean algorithm allowing the automated classification of GRA and RUM based on means and standard deviations levels of gravitational acceleration and rotation rate signals (mGx, sGx, sRx and sRy) related to head (HM) and jaw movements (JM) measured on cows wearing the iPhone 4S IMU on the neck

- <sup>1</sup>mGx: mean of gravitational acceleration on x-axis
- <sup>2</sup>sGx: standard deviation of gravitational acceleration on x-axis
- <sup>3</sup>sRx: standard deviation of rotation rate on x-axis
- 300 <sup>4</sup>sRy: standard deviation of rotation rate on y-axis
- 301

302 The first step of the calibration was to use the calibration dataset to test different combinations 303 of signals and threshold levels for the corresponding signals. The following combinations of 304 signals were tested, which are those that in the previous step had best reflected the changes in 305 HM and JM: mGx, sGx, sRx, sRy, (mGx, sGx), (mGx, sRx), (mGx, sRy), (mGx, sGx, sRx), 306 (mGx, sGx, sRy), (mGx, sGx, sRx, sRy). For the different algorithms, namely signal 307 combinations, detection accuracies were compared depending on the threshold levels (80%, 308 90%, 95%, and 99%) for prediction of GRA, RUM and OTHERS. The final algorithm, used 309 later in the validation step, was constructed with the most accurate threshold values and signal 310 combinations. All parameters used in the different algorithms were calculated using 1-second 311 time windows. Finally, to assess how important it was to use the shortest time window (1-312 second) to calculate average and standard deviations of the different signals used in the best 313 classification algorithm (mGx, sGx, sRx and sRy), the classification's accuracy was calculated using extended time windows (1s, 5s, 10s, 15s, 30s, 60s) and the detection 314 315 accuracies of GRA, RUM and OTHERS were then compared for the calibration dataset.

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- 317

#### c) <u>Validation of the algorithm</u>

To validate the algorithm that had been developed, data from the remaining 99 video sequences of the validation database were processed by the algorithm. This estimated detection quality using the different formulas set out in Table 2. To explore the usefulness of the algorithm, its ability to describe daily behavior patterns over a 24-hours time period was also tested on one cow grazing swards with two contrasted forage allowances (1000 and 3000 kg DM.ha<sup>-1</sup>).

#### 325 **3. Results**

326

327

#### 3.1. Algorithm calibration

#### 3.1.1. Choice of signals for adequate HM and JM description

328 Regarding head movements (HM), due to the position of the IMU device on cows, 329 three IMU parameters were considered good candidates to reflect changes in head position: 330 acceleration, Euler angles and gravitational component of acceleration. When cows are 331 grazing, their heads stay down but when ruminating, the IMU points slightly upwards. 332 Consequently, as shown in Figure 4, the gravitational component along the x-axis increases 333 when cows take grass and move the head down, getting closer to 1 g. The opposite occurs on 334 the z-axis: gravitational acceleration decreases when switching from RUM (head up) to GRA 335 (head down). Logically, changes along the y-axis are not of concerned. As Figure 4 shows, 336 Euler angles can also reflect such changes, although for these signals, the response seems to 337 be more dependent on the individual animal, making the choice of thresholds for this criterion 338 less universally discriminating. Total acceleration, combining both user (U) and gravitational 339 components (G), was not accurate enough because the values caused by the back and forth 340 HM associated with GRA were too dispersed. Normalized gravitational acceleration (G) 341 presented the best potential for discriminating between GRA and RUM behaviors on the x 342 and z axes (Figure 4), and the mean and the standard deviation of this normalized signal 343 distribution were therefore used to characterize cattle head movements (respectively mGx and 344 sGx).



346 <u>Figure 4:</u> Frequencies distribution of normalized values along the 3 axes of the IMU signals 347 expressing head movements during tagged sequences of GRA, RUM and OTHERS activities. 348 With (a) the acceleration (Ax, Ay, Az) expressed in g (acceleration of gravity,  $g=9.81 \text{ m.s}^{-2}$ ), 349 (b) the gravitational component of the acceleration (Gx, Gy, Gz) expressed also in g and (c) 350 the Euler angles (pitch, roll, yaw) expressed in Rad (Radian), all on the (x,y,z) axes of the 351 IMU. Gx is the most relevant signal to discriminate head movements occurring during GRA 352 and RUM.

354 Although head position seems sufficient to discriminate grazing from rumination, the range of 355 values in Figure 4 indicates that this single criterion does not allow for discrimination 356 between RUM or GRA from OTHERS. This is due to overlap in frequencies. Therefore, a 357 second discrimination step was necessary using the remaining information related to HM and 358 JM.. Intensities of such movements can be characterized by the standard deviation of user 359 acceleration particularly along the x-axis (as displayed in Figure 5). During grazing and 360 rumination, cows show a typical rotation movement with their jaws when chewing and with 361 their heads when taking grass into the mouth. Therefore, candidate signals to reflect such 362 movements were rotation rates along the x and y axes of the IMU. The average algebraic

363 value of those signals always equals to 0 when the time window is over 1-second because the 364 jaw and the head return regularly to their original position and so useful information from 365 these signals must be based on squared values, such as standard deviations (sRx and sRy).



Figure 5: Frequencies distribution of the values of standard deviation of amplitude signals of (a) rotation rate (Rx, Ry, Rz) expressed in rad.s<sup>-1</sup> (radian per second) and (b) user-acceleration (Ux, Uy, Uz) expressed in g (acceleration of gravity, g=9.81 m.s<sup>-2</sup>) on the (x,y,z) axes of the IMU, during tagged sequences of GRA, RUM and OTHERS activities. Rx and Ry are the most relevant signals to discriminate jaw movements intensities between GRA and RUM.

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Subsequently, a total of 40 possible combinations were tested in a Boolean algorithm, when associating four threshold levels encompassing either 80%, 90%, 95% or 99% of the observations with 10 possible combinations of signals using the mean of the gravitational component of the acceleration along the x-axis (mGx), its standard deviation (sGx), and the standard deviation of the rotation rate around the x- (sRx) and the y-axis (sRy) as explained above.

#### 3.1.2. Choice of threshold values

381 For each set of observations, the different threshold values (80%, 90%, 95% and 99%)

that were calculated from the normalized calibration database are shown in Table 3.

383

<u>Table 3:</u> Minimum and maximum value windows for mGx, sGx, sRx and sRy calculated with
1-second time windows to encompass 80%, 90%, 95% and 99% of the observations in the
calibration dataset

Considered data percentage	Behaviors	Mean of the gravitational acceleration along x (mGx) (g)		SD <sup>1</sup> of the gravitational acceleration along x (sGx) (g)		SD of the rotation rate along x (sRx) (rad s <sup>-1</sup> )		SD of the rotation rate along y (sRy) (rad s <sup>-1</sup> )	
		Min	Max	Min	Max	Min	Max	Min	Max
80%	GRA	0.716	0.922	0.006	0.036	0.151	0.605	0.140	0.619
	RUM	0.111	0.478	0.003	0.012	0.062	0.157	0.029	0.092
90%	GRA	0.693	0.945	0.005	0.052	0.134	0.793	0.116	0.734
	RUM	0.099	0.493	0.002	0.018	0.056	0.185	0.025	0.145
95%	GRA	0.600	0.950	0.005	0.060	0.134	0.793	0.116	0.734
	RUM	0.100	0.490	0.003	0.018	0.032	0.185	0.025	0.145
99%	GRA	0.581	0.963	0.002	0.151	0.060	1.214	0.047	1.069
	RUM	0.066	0.559	0.002	0.067	0.014	0.290	0.017	0.466

387 <sup>1</sup>SD: standard deviation

388

For every combination, detection accuracies for GRA, RUM and OTHERS were lower when using threshold values that encompassed 80% and 99% of the observations compared to those for 90% and 95% (Figure 6). Apart from single signals which also provide lower detection accuracies than combinations, thresholds for 95% of encompassed data, gave the bestpercentage of correctly detected behaviors, although the difference to 90% was rather low.

394



396 Figure 6: Detection accuracy (% of exact prediction) of feeding activities (GRA, RUM and OTHERS) with algorithms based on a single or combination of signals given by the IMU 397 398 when using value windows that encompass 80% to 99% of the calibration dataset 399 observations. With (A) mGx: mean of gravitational acceleration on x-axis; (B) sGx: standard 400 deviation of gravitational acceleration on x-axis; (C) sRx: standard deviation of rotation rate 401 on x-axis; (D) sRy: standard deviation of rotation rate on y-axis, and with six different 402 combinations (E) (mGx, sGx), (F) (mGx, sRx), (G) (mGx, sRy), (H) (mGx, sGx, sRx), (I) 403 (mGx, sGx, sRy) and (J) (mGx, sGx, sRx, sRy).

404

405 After considering those results, the algorithm was built using thresholds that include 95% of406 all calibration dataset observations.

#### 408 **3.1.3.** Choice of signal combinations in the algorithm

The usefulness of combining signals was also compared. Figure 6 clearly shows the need to use signals representing HM (mGx and/or sGx) and JM (sRx or sRy). These combinations gave the highest detection accuracies especially for grazing and ruminating behaviors with average accuracies of up to 93%. Detection accuracy using sRx to translate JM was slightly higher (94.5%) than when using sRy (94%). The most accurate algorithm, with an average accuracy of 92%, was therefore built on the combination of mGx, sGx and sRx (i.e. the H combination on Figure 6).

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# 417

#### 3.1.4. Testing the algorithm with different time window lengths

418 When the precision of the algorithm was evaluated according to the size of time 419 window used to calculate mGx, sGx, sRx and sRy, the highest accuracy found was with a 1-420 second time window (Figure 7). When comparing detected behaviors with the observation for 421 longer time windows (> 1-second) the "cleanliness" of each observation matrix of was 422 assessed and every sequence of 5, 10, 15, 30, 45 and 60-seconds which did not contain only 423 GRA, only RUM or only OTHERS was discarded from the database. Obviously the longer 424 the time window, the higher the percentage of unused sequences (up to 38%) as shown on 425 Figure 7.



427 <u>Figure 7:</u> Comparison of detection accuracies of GRA, RUM and OTHERS when all the 428 parameters of the algorithm are calculated with 1, 5, 10, 15, 30, 45, and 60-seconds 429 (respectively 1s, 5s, 10s, 15s, 30s, 45s and 60s) time windows, and percentage of calibration 430 database sequences discarded for not containing pure GRA, RUM or OTHERS behaviors.

The final algorithm (Figure 8) therefore uses a 1-second time window and considers mGx,
with sGx and sRx parameters following threshold values encompassing 95% of the calibration
data in a 2-step discrimination tree. The MatLab code and user's guide are provided in
Supplementary Data 1.



438 <u>Figure 8:</u> Final structure of the detection algorithm including the thresholds to differentiate
439 GRA from RUM following the algorithm built in Figure 3

440

441 **3.2.** Algorithm validation

The validation dataset included 99 sequences with a total of 38.5 hours of video (N=138332 of 1-second sequences, with 79244 seconds of GRA, 5350 seconds of RUM and 53738 seconds of OTHERS). When the algorithm was applied to the validation dataset, the average detection accuracy was 92.0% (Table 4). It was more accurate when detecting RUM (96.5%) than GRA (91%).

Table 4: Predictive quality evaluation of the final algorithm when applied to the validation dataset using (1) Sensitivity = true positive / (true positive + false negative), (2) Specificity = true negative / (true negative + false positive), (3) Precision = true positive / (true positive + false positive) and (4) Accuracy = (true positive + true negative) / (true positive + false positive + true negative + false negative) as indicators. The number N represents the length of viewed sequences, in second, within validation dataset containing each behavior.

454

Behaviors	Sensitivity (1)	Specificity (2)	Precision (3)	Accuracy (4)
	(%)	(%)	(%)	(%)
GRA (N=79244)	91.1	90.9	93.5	91.0
RUM (N=5350)	53.1	99.4	84.5	96.5
OTHERS (N=53738)	87.6	87.5	79.1	87.6

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456

### 3.3. Effect of the different sward heights on 24h allocation of cattle activities

457 With overall detection accuracies of unitary behaviors namely GRA and RUM above 458 91%, practical uses of this algorithm to characterize cattle feeding activities during a complete 459 day can be expected. In Figure 9, 24-hours activities of the same cow grazing a sward with two different pre-grazing heights (i.e. 1000 and 3000 kg DM.ha<sup>-1</sup>) in two different seasons 460 461 (summer 2015 and fall 2015) were plotted using this algorithm. Based on the 1-second 462 detection output of the algorithm, the proportion of detected behavior was calculated per minute. At first glance, the usefulness of the algorithm could be verified, because in this 463 464 instance it highlighted that grazing bouts depend on forage allowance (they were not even in







468 Figure 9: Allocation of activities during 24-hours for a non-supplemented cow grazing the
469 same pasture at two different times of the grazing season and with two different forage
470 allowances: 1000 kg DM.ha<sup>-1</sup> (A) and a 3000 kg DM.ha<sup>-1</sup> (B).

#### 4. Discussion

472 The aim of this paper was to propose an open method for detecting grazing cattle 473 behaviors using readily accessible devices with little requirement for hardware development. 474 For this purpose, smartphones were used, more specifically the iPhone, which was preferred 475 because of the standardization of models and the accurate description of their inner 476 components, particularly their inertial measurement units (IMU). As expected, an IMU placed 477 on the neck of an animal was able to record changes in posture and movements in all 478 directions. This is not surprising given that the speed and acceleration one would expect a 479 cow to relay to the device fits into the ranges of human user exertion. Other smartphones 480 equipped with IMUs or even tailor-made devices could also be used with the same algorithm, 481 assuming they provide the same characteristics in terms of sensitivity and recording frequency 482 and have an appropriate application installed to record IMU signals. The approach used to 483 build the algorithm based on observation of cattle movements proved an efficient strategy to 484 build an algorithm since validation on a completely independent database reached high 485 accuracies for detecting GRA and RUM behaviors using a very short time window (1-486 second). Dutta et al. (2015) chose 5-second time windows when combining GPS recording at 487 4 Hz sampling frequency and 3-D accelerometer at 10 Hz to detect grazing behaviors and 488 attained 96% accuracies using a neural network method. Similar experiments by González et 489 al. (2015) using 10-second time windows reached an average detection accuracy of 90.5%. To 490 detect JM, other published works have used longer time windows, between 1 to 15-minutes 491 (e.g. Oudshoorn et al., 2013 with 10-minutes). With our algorithm changes in behavior can be 492 measured at a very high rate, thanks to the high frequency of data acquisition that the IMU 493 allows (100 Hz) compared to previous studies that sampled signals from 1 to 20 Hz, and for 494 which accuracies ranged between 65 and 90% (e.g., Oudshoorn et al., 2013). In these previous 495 studies, increasing the time windows to up to 10 to 15-seconds was shown to significantly 496 increase the specificity and sensitivity of classification (González et al., 2015, Smith et al. 497 2016). As shown in Figure 7, this was not the case using the algorithm proposed here, 498 notwithstanding that a number of sequences had to be discarded from the database because an 499 increasing proportion of sequences were comprising more than one behavior, especially GRA 500 and OTHERS. These differences stem from the behavior classification method based on 501 visual observation. In our experiment, animal behavior was video recorded while in previous 502 works, animal behavior was observed on the spot. The latter method does not allow the 503 detection of the very short term changes in activity that can occur when grazing, for example 504 discriminating grass intake (classified as GRA in the present work) from searching for a 505 feeding station with the head still pointing downwards (classified as OTHERS) .As showed 506 by Hämäläinen et al. (2016), high frequency sampling allows for better data acquisition, 507 greatly improving detection accuracy with small time windows. This is especially so when it 508 comes to distinguish specific behaviors (for example, different phases of grass prehension to 509 investigate grazing strategies). In addition, the high sensitivity of the IMU leads a rapid 510 change of the rotation rate signal on x-axis, and has given poorer results when the time-511 windows was increased unlike in other researches where different kind of variables were used 512 for classification and use of longer time-window had given better result.

513 In future, a precision grazing management application might need to detect changes in grazing 514 behavior as accurately as possible, and so an automated detection algorithm should aim to 515 reach the highest accuracy possible with the shortest time window.

516 When comparing different detection accuracies among unitary behaviors, the algorithm shows 517 better performances with GRA, where corresponding sensitivity (89.3%) and specificity are 518 highest (87.0%). This is logical since it is the only behavior for which the cow puts her head 519 down for a long time. The only possible confusing behaviors are when the cow has her head 520 in a similar position, for example when drinking or searching for a feeding station without 521 eating and therefore not performing any specific JM considered part of grazing behavior 522 (Gibb, 1996). But the intensity of these movements is much lower resulting in lower standard 523 deviations, and the time allocated to these behaviors is not as important as for grazing 524 (Vallentine, 2001). For RUM, high specificity (99.4%) combined with low sensitivity (53.1%) 525 results in a high false negative rate. This can be ascribed to possible confusion between RUM 526 and resting periods, standing or lying down without rumination which are included in 527 OTHERS. These behaviors are only differentiated by the JM performed during RUM and by 528 detecting sequences of chewing and regurgitation phases which occur approximately once per 529 minute. Since even with longer time windows the accuracy was not improved, an option 530 would be to improve the algorithm to detect regurgitation from chewing within the rumination 531 phase. The signal representing jaw movement was filtered between 1 Hz and 2 Hz where a 532 characteristic peak could be shown in the frequency-domain for RUM. When toggled in the 533 time-domain for the Ry analysis, RUM bouts are composed of a succession of chewing peaks 534 interrupted by a stop period during the swallowing and regurgitation of the bolus (Gibb, 535 1996). For better monitoring of RUM patterns in cows, a discrimination loop considering the detection of typical patterns in the Rx or Ry signal could be added to improve the detection of 536 537 RUM and at the same time to allow counting the numbers of chewing movements, for 538 example, as it is done by the IGER behavior recorder (Rutter et al., 1997; Rutter, 2000). 539 Finally, the algorithm was tailored to be as general as possible. The normalization step of raw 540 signals allowed for high accuracy levels for a range of cattle of different weights and 541 conformation (dairy and beef) and under various sward heights. Although the algorithm was 542 not built to detect differences in grazing conditions, using it to reconstruct different daily 543 feeding activity kinetics is one possible prospect of further use, which could provide useful

545 proper validation and should be compared to studies of factors influencing grazing and eating

information for grazing management research. Nevertheless, such approaches still require

546 behaviors of cattle under similar pasture conditions such as time of day (Gibb et al., 1998), 547 sward height (e.g. Gibb et al., 1999, Orr et al., 2004) or bulk density (Mayne et al., 1997). The 548 example given in Figure 9, describes how grazing periods are more 'grouped' in a paddock 549 with a higher sward height, suggesting that cows perform longer grazing bouts when more 550 grass is available. Griffiths et al. (2003) have shown similar results with a longer residence 551 time when the sward is high. However, quantifying the whole grazing duration is not enough 552 since additional information about intake such as bite characteristics are an essential part of 553 improving the understanding of cattle grazing processes under different contexts, preferably 554 under long-term experiments (Chilibroste et al., 2015).

555

#### 556 **5.** Conclusions

557 Using a smartphone with an efficient IMU that is readily available worldwide, it was 558 possible to detect grass intake (GRA) and rumination (RUM) behaviors of cattle fed on 559 pasture based on observations assuming that cows perform different group of head and jaw 560 movements when performing these behaviors. Different signals recorded by the IMU were 561 then chosen to describe these physical movements and to define thresholds used for GRA and 562 RUM behaviors classification. Data collection is possible by simply installing an application 563 on the smartphone, which allows for recording many signals from the accelerometer, 564 gyroscope or location sensors at different sampling rates. Average accuracies ranged between 565 90 and 95% when detecting grass intake and ruminating behaviors, and 86% for others.

566 Until now, raw data is transferred and analyzed on a computer. Nevertheless, real-time 567 acquisition and analysis of the data is possible and in progress in the scope of Precision 568 Livestock Farming approach.

The developed algorithm was coded in MatLab and is available in the supplementary data of this manuscript. It can be used by others for research or teaching purposes, or to further improve it highlighting the open character of the algorithm. Obviously, before being used, in the tropics for example, the algorithm should be validated for more diverse conditions with more heterogeneous vegetation and with more breeds, especially zebus. Using similar method with other domestic species and pets could also be possible but there is a need to find the best anatomical place for the device before testing the method itself. Finally, deeper analyses of each behavior through peak or frequency signal analysis are needed to further explore potential of accelerometer-based behavior monitoring methods.

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- 581

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