

# Topological invariants, diametral dimension, and one related question

Loïc Demeulenaere (FRIA-FNRS Grantee)

PhD Seminars Mathematics - UGent

15<sup>th</sup> May 2017

## Introduction

## Diametral dimension

## An open question about diametral dimension(s)

## Introduction

Diametral dimension

An open question about diametral dimension(s)

# Functional analysis

# Functional analysis

- Main topic, **functional spaces**: *topological vector spaces* (tvs) and, more specifically, *locally convex spaces* (lcs).

# Functional analysis

- Main topic, **functional spaces**: *topological vector spaces* (tvs) and, more specifically, *locally convex spaces* (lcs).
- Important to *compare structures* of tvs: linear maps, continuous maps, etc.

# Functional analysis

- Main topic, **functional spaces**: *topological vector spaces* (tvs) and, more specifically, *locally convex spaces* (lcs).
- Important to *compare structures* of tvs: linear maps, continuous maps, etc.
- **Isomorphism**: a *bijective* map between 2 tvs, which is *linear* and *continuous* and has a *continuous inverse*.

# Isomorphic... or not?

Let  $E$  and  $F$  be 2 tvs.

# Isomorphic... or not?

Let  $E$  and  $F$  be 2 tvs.

- $E \cong F$ ? "Enough" to find an isomorphism...

# Isomorphic... or not?

Let  $E$  and  $F$  be 2 tvs.

- $E \cong F$ ? "Enough" to find an isomorphism...
- $E \not\cong F$ ? Not clear!

# Isomorphic... or not?

Let  $E$  and  $F$  be 2 tvs.

- $E \cong F$ ? "Enough" to find an isomorphism...
- $E \not\cong F$ ? Not clear!

~~ **(linear) topological invariant**: a property which is *preserved by isomorphisms*.

# Isomorphic... or not?

Let  $E$  and  $F$  be 2 tvs.

- $E \cong F$ ? "Enough" to find an isomorphism...
- $E \not\cong F$ ? Not clear!

~~ **(linear) topological invariant**: a property which is *preserved by isomorphisms*.

## Examples

- dimension in vector spaces;
- being Hausdorff or not in topological spaces;
- ...

# Isomorphic... or not?

Let  $E$  and  $F$  be 2 tvs.

- $E \cong F$ ? "Enough" to find an isomorphism...
- $E \not\cong F$ ? Not clear!

~~ **(linear) topological invariant**: a property which is *preserved by isomorphisms*.

## Examples

- dimension in vector spaces;
- being Hausdorff or not in topological spaces;
- ... and the **diametral dimension** for tvs!

Introduction

Diametral dimension

An open question about diametral dimension(s)

## Kolmogorov's diameters

Let  $E$  be a ( $\mathbb{C}$ -)vector space,  $n \in \mathbb{N}_0$ , and  $U, V \subseteq E$  and  $\mu > 0$  be s.t.  $V \subseteq \mu U$ .

# Kolmogorov's diameters

Let  $E$  be a ( $\mathbb{C}$ -)vector space,  $n \in \mathbb{N}_0$ , and  $U, V \subseteq E$  and  $\mu > 0$  be s.t.  $V \subseteq \mu U$ .

## Definition

The  $n$ -th *Kolmogorov's diameter* of  $V$  with respect to  $U$  is

$$\delta_n(V, U) := \inf \{\delta > 0 : \exists F \subseteq E, \dim F \leq n, \text{ s.t. } V \subseteq \delta U + F\}.$$

# Kolmogorov's diameters

Let  $E$  be a ( $\mathbb{C}$ -)vector space,  $n \in \mathbb{N}_0$ , and  $U, V \subseteq E$  and  $\mu > 0$  be s.t.  $V \subseteq \mu U$ .

## Definition

The  $n$ -th *Kolmogorov's diameter* of  $V$  with respect to  $U$  is

$$\delta_n(V, U) := \inf \{\delta > 0 : \exists F \subseteq E, \dim F \leq n, \text{ s.t. } V \subseteq \delta U + F\}.$$

## Some properties

- $0 \leq \delta_{n+1}(V, U) \leq \delta_n(V, U) \leq \mu$ ;
- if  $V_0 \subseteq V \subseteq U \subseteq U_0$ , then  $\delta_n(V_0, U_0) \leq \delta_n(V, U)$ ;
- if  $T : E \rightarrow F$  is linear,  $\delta_n(T(V), T(U)) \leq \delta_n(V, U)$ .

# Diametral dimension

Let  $E$  be a tvs and let  $\mathcal{U}$  be a basis of 0-neighbourhoods in  $E$ .

# Diametral dimension

Let  $E$  be a tvs and let  $\mathcal{U}$  be a basis of 0-neighbourhoods in  $E$ .

## Definition

The *diametral dimension* of  $E$  is

$$\Delta(E) := \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall U \in \mathcal{U}, \exists V \in \mathcal{U}, V \subseteq U, \text{ s.t. } \xi_n \delta_n(V, U) \rightarrow 0 \right\}.$$

## Diametral dimension

Let  $E$  be a tvs and let  $\mathcal{U}$  be a basis of 0-neighbourhoods in  $E$ .

### Definition

The *diametral dimension* of  $E$  is

$$\Delta(E) := \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall U \in \mathcal{U}, \exists V \in \mathcal{U}, V \subseteq U, \text{ s.t. } \xi_n \delta_n(V, U) \rightarrow 0 \right\}.$$

### Property

If  $F$  is another tvs and if there exists a linear, continuous and open map  $T : E \rightarrow F$ , then  $\Delta(E) \subseteq \Delta(F)$ .

## Diametral dimension

Let  $E$  be a tvs and let  $\mathcal{U}$  be a basis of 0-neighbourhoods in  $E$ .

### Definition

The *diametral dimension* of  $E$  is

$$\Delta(E) := \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall U \in \mathcal{U}, \exists V \in \mathcal{U}, V \subseteq U, \text{ s.t. } \xi_n \delta_n(V, U) \rightarrow 0 \right\}.$$

### Property

If  $F$  is another tvs and if there exists a linear, continuous and open map  $T : E \rightarrow F$ , then  $\Delta(E) \subseteq \Delta(F)$ .

### Theorem

The diametral dimension is a **topological invariant** (if  $E \cong F$ , then  $\Delta(E) = \Delta(F)$ ).

## Examples

### Some reminders

- A *seminorm* on  $E$  is a map  $p : E \rightarrow [0, \infty)$  s.t.
  1.  $\forall x, y \in E, p(x + y) \leq p(x) + p(y);$
  2.  $\forall x \in E, \forall \lambda \in \mathbb{C}, p(\lambda x) = |\lambda|p(x).$(It is a *norm* if, moreover,  $p(x) = 0 \Rightarrow x = 0$ ).

## Examples

### Some reminders

- A *seminorm* on  $E$  is a map  $p : E \rightarrow [0, \infty)$  s.t.
  1.  $\forall x, y \in E, p(x + y) \leq p(x) + p(y);$
  2.  $\forall x \in E, \forall \lambda \in \mathbb{C}, p(\lambda x) = |\lambda|p(x).$(It is a *norm* if, moreover,  $p(x) = 0 \Rightarrow x = 0$ ).
- $E$  is a *locally convex space* (*lcs*) if there exists in  $E$  a basis of 0-neighbourhoods made of (unit) balls of seminorms.

## Examples

### Some reminders

- A *seminorm* on  $E$  is a map  $p : E \rightarrow [0, \infty)$  s.t.
  1.  $\forall x, y \in E, p(x + y) \leq p(x) + p(y);$
  2.  $\forall x \in E, \forall \lambda \in \mathbb{C}, p(\lambda x) = |\lambda|p(x).$(It is a *norm* if, moreover,  $p(x) = 0 \Rightarrow x = 0$ ).
- $E$  is a *locally convex space* (lcs) if there exists in  $E$  a basis of 0-neighbourhoods made of (unit) balls of seminorms.

### Examples: holomorphic functions

With the topology defined by the seminorms “suprema on compact sets”, we have

$$\begin{aligned}\Delta(H(\mathbb{D})) &= \bigcap_{k \in \mathbb{N}} \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \xi_n e^{-n/k} \rightarrow 0 \right\} \\ \Delta(H(\mathbb{C})) &= \bigcup_{k \in \mathbb{N}_0} \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \xi_n e^{-nk} \rightarrow 0 \right\}\end{aligned}$$

## Examples

### Some reminders

- A *seminorm* on  $E$  is a map  $p : E \rightarrow [0, \infty)$  s.t.
  1.  $\forall x, y \in E, p(x + y) \leq p(x) + p(y);$
  2.  $\forall x \in E, \forall \lambda \in \mathbb{C}, p(\lambda x) = |\lambda|p(x).$(It is a *norm* if, moreover,  $p(x) = 0 \Rightarrow x = 0$ ).
- $E$  is a *locally convex space* (lcs) if there exists in  $E$  a basis of 0-neighbourhoods made of (unit) balls of seminorms.

### Examples: holomorphic functions

With the topology defined by the seminorms “suprema on compact sets”, we have

$$\begin{aligned}\Delta(H(\mathbb{D})) &= \bigcap_{k \in \mathbb{N}} \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \xi_n e^{-n/k} \rightarrow 0 \right\} \\ \Delta(H(\mathbb{C})) &= \bigcup_{k \in \mathbb{N}_0} \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \xi_n e^{-nk} \rightarrow 0 \right\}\end{aligned}\Rightarrow H(\mathbb{D}) \not\cong H(\mathbb{C})$$

Introduction

Diametral dimension

An open question about diametral dimension(s)

## Another diametral dimension...

### Definition

A subset  $B$  of a tvs  $E$  is *bounded* if, for every 0-neighbourhood  $U$ , there is a  $\mu > 0$  s.t.  $B \subseteq \mu U$ .

## Another diametral dimension...

### Definition

A subset  $B$  of a tvs  $E$  is *bounded* if, for every 0-neighbourhood  $U$ , there is a  $\mu > 0$  s.t.  $B \subseteq \mu U$ .

### Definition

If  $E$  is a tvs/lcs and  $\mathcal{U}$  a 0-neighbourhood basis,

$$\Delta_b(E) := \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall B \text{ bounded}, \forall U \in \mathcal{U}, \xi_n \delta_n(B, U) \rightarrow 0 \right\}.$$

## Another diametral dimension...

### Definition

A subset  $B$  of a tvs  $E$  is *bounded* if, for every 0-neighbourhood  $U$ , there is a  $\mu > 0$  s.t.  $B \subseteq \mu U$ .

### Definition

If  $E$  is a tvs/lcs and  $\mathcal{U}$  a 0-neighbourhood basis,

$$\Delta_b(E) := \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall B \text{ bounded}, \forall U \in \mathcal{U}, \xi_n \delta_n(B, U) \rightarrow 0 \right\}.$$

### NB

$$\Delta(E) \subseteq \Delta_b(E).$$

## Another diametral dimension...

### Definition

A subset  $B$  of a tvs  $E$  is *bounded* if, for every 0-neighbourhood  $U$ , there is a  $\mu > 0$  s.t.  $B \subseteq \mu U$ .

### Definition

If  $E$  is a tvs/lcs and  $\mathcal{U}$  a 0-neighbourhood basis,

$$\Delta_b(E) := \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall B \text{ bounded}, \forall U \in \mathcal{U}, \xi_n \delta_n(B, U) \rightarrow 0 \right\}.$$

### NB

$$\Delta(E) \subseteq \Delta_b(E).$$

### Question

$$\Delta(E) = \Delta_b(E) \text{ for lcs ???}$$

# A partial answer

## Notation

$$\Delta^\infty(E) := \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall U \in \mathcal{U}, \exists V \in \mathcal{U}, C > 0 \text{ s.t. } |\xi_n| \delta_n(V, U) \leq C \right\}.$$

## A partial answer

### Notation

$$\Delta^\infty(E) := \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall U \in \mathcal{U}, \exists V \in \mathcal{U}, C > 0 \text{ s.t. } |\xi_n| \delta_n(V, U) \leq C \right\}.$$

**Theorem** (2016, L.D., L. Frerick, J. Wengenroth)

If  $E$  is a Schwartz metrizable lcs s.t.  $\Delta(E) = \Delta^\infty(E)$ , then  $\Delta(E) = \Delta_b(E)$ .

- $E$  is **Schwartz** if  $\forall U \in \mathcal{U}, \exists V \in \mathcal{U}$  s.t.  $\forall \varepsilon > 0$ ,  $\exists F$  a finite part of  $E$  s.t.  $V \subseteq \varepsilon U + F$ .
- A lcs  $E$  is **metrizable** iff it is Hausdorff and its topology can be defined by a countable family of seminorms.

# A partial answer

Which lcs verify  $\Delta(E) = \Delta^\infty(E)$ ?

## A partial answer

Which lcs verify  $\Delta(E) = \Delta^\infty(E)$ ?

- hilbertizable metrizable Schwartz lcs (2016, L.D., L. Frerick, J. Wengenroth);
- classical sequence spaces (Köthe-Schwartz sequence spaces) (2017, F. Bastin, L.D.).

## A partial answer

Which lcs verify  $\Delta(E) = \Delta^\infty(E)$ ?

- hilbertizable metrizable Schwartz lcs (2016, L.D., L. Frerick, J. Wengenroth);
- classical sequence spaces (Köthe-Schwartz sequence spaces) (2017, F. Bastin, L.D.).

### Warning!

There exist Schwartz **non-metrizable** lcs  $E$  with  $\Delta(E) \subsetneq \Delta_b(E)$  (2017, F. Bastin, L.D.).

## One last concept

Look at this:

$$\Delta(E) = \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall U \in \mathcal{U}, \exists V \in \mathcal{U}, V \subseteq U, \text{ s.t. } \xi_n \delta_n(V, U) \rightarrow 0 \right\}$$

$$\Delta_b(E) = \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall B \text{ bounded}, \forall U \in \mathcal{U}, \xi_n \delta_n(B, U) \rightarrow 0 \right\}.$$

## One last concept

Look at this:

$$\Delta(E) = \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall U \in \mathcal{U}, \exists V \in \mathcal{U}, V \subseteq U, \text{ s.t. } \xi_n \delta_n(V, U) \rightarrow 0 \right\}$$

$$\Delta_b(E) = \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall B \text{ bounded}, \forall U \in \mathcal{U}, \xi_n \delta_n(B, U) \rightarrow 0 \right\}.$$

↔ Prominent bounded sets (2013, T. Terzioglu)

A bounded  $B$  set of  $E$  is *prominent* if  $\forall U \in \mathcal{U}, \exists V \in \mathcal{U}, C > 0$  s.t.  
 $\delta_n(V, U) \leq C \delta_n(B, V) \forall n \in \mathbb{N}_0$ .

## One last concept

Look at this:

$$\Delta(E) = \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall U \in \mathcal{U}, \exists V \in \mathcal{U}, V \subseteq U, \text{ s.t. } \xi_n \delta_n(V, U) \rightarrow 0 \right\}$$

$$\Delta_b(E) = \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall B \text{ bounded}, \forall U \in \mathcal{U}, \xi_n \delta_n(B, U) \rightarrow 0 \right\}.$$

↔ Prominent bounded sets (2013, T. Terzioglu)

A bounded  $B$  set of  $E$  is *prominent* if  $\forall U \in \mathcal{U}, \exists V \in \mathcal{U}, C > 0$  s.t.

$$\delta_n(V, U) \leq C \delta_n(B, V) \quad \forall n \in \mathbb{N}_0.$$

If  $E$  has a prominent set, then  $\Delta(E) = \Delta_b(E)$ .

## One last concept

Look at this:

$$\Delta(E) = \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall U \in \mathcal{U}, \exists V \in \mathcal{U}, V \subseteq U, \text{ s.t. } \xi_n \delta_n(V, U) \rightarrow 0 \right\}$$

$$\Delta_b(E) = \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall B \text{ bounded}, \forall U \in \mathcal{U}, \xi_n \delta_n(B, U) \rightarrow 0 \right\}.$$

↔ Prominent bounded sets (2013, T. Terzioglu)

A bounded  $B$  set of  $E$  is *prominent* if  $\forall U \in \mathcal{U}, \exists V \in \mathcal{U}, C > 0$  s.t.  
 $\delta_n(V, U) \leq C \delta_n(B, V) \quad \forall n \in \mathbb{N}_0$ .

If  $E$  has a prominent set, then  $\Delta(E) = \Delta_b(E)$ .

Spaces with prominent sets (2016, L.D., L.F., J. W.)

Metrizable lcs with **property**  $(\overline{\Omega})$ : if  $\mathcal{U} = (U_k)_{k \in \mathbb{N}}$ ,

$$\forall m, \exists k, \forall j, \exists C > 0 : U_k \subseteq rU_j + \frac{C}{r} U_m \quad \forall r > 0.$$

## Applications of this theory?

- Multifractal analysis: study of signals and “regularity”.

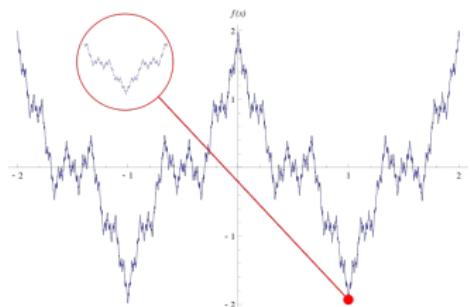


Figure: Weirstrass Function

(<https://upload.wikimedia.org/wikipedia/commons/thumb/6/60/WeierstrassFunction.svg/795px-WeierstrassFunction.svg.png>)

## Applications of this theory?

- Multifractal analysis: study of signals and “regularity”.

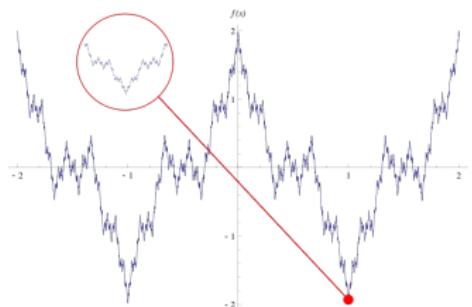


Figure: Weirstrass Function

(<https://upload.wikimedia.org/wikipedia/commons/thumb/6/60/WeierstrassFunction.svg/795px-WeierstrassFunction.svg.png>)

- study of sequence spaces  $S^\nu$  (diametral dimension, property  $(\overline{\Omega})$ ) (2017, L.D.)

## Applications of this theory?

- Multifractal analysis: study of signals and “regularity”.

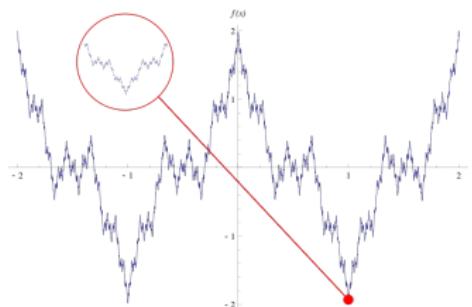


Figure: Weirstrass Function

(<https://upload.wikimedia.org/wikipedia/commons/thumb/6/60/WeierstrassFunction.svg/795px-WeierstrassFunction.svg.png>)

- study of sequence spaces  $S^\nu$  (diametral dimension, property  $(\overline{\Omega})$ ) (2017, L.D.)

More details? See you in Han-sur-Lesse on June 8th-9th! ☺

Thank you for your attention!

## References |

-  J.-M. Aubry and F. Bastin.  
Diametral dimension of some pseudoconvex multiscale spaces.  
*Studia Math.*, 197(1):27–42, 2010.
-  J.-M. Aubry, F. Bastin, S. Dispa, and S. Jaffard.  
Topological properties of the sequence spaces  $S^\nu$ .  
*J. Math. Anal. Appl.*, 321:364–387, 2006.
-  F. Bastin and L. Demeulenaere.  
On the equality between two diametral dimensions.  
*Functiones et Approximatio, Commentarii Mathematici*, 56(1):95–107, 2017.
-  L. Demeulenaere.  
Dimension diamétrale, espaces de suites, propriétés ( $DN$ ) et ( $\Omega$ ).  
Master's thesis, University of Liège, 2014.

## References ||

-  L. Demeulenaere.  
Spaces  $S^\nu$ , diametral dimension and property  $(\overline{\Omega})$ .  
*J. Math. Anal. Appl.*, 449(2):1340–1350, 2017.
-  L. Demeulenaere, L. Frerick, and J. Wengenroth.  
Diametral dimensions of Fréchet spaces.  
*Studia Math.*, 234(3):271–280, 2016.
-  S. Jaffard.  
Beyond Besov spaces, Part I : Distribution of wavelet coefficients.  
*J. Fourier Anal. Appl.*, 10(3):221–246, 2004.
-  H. Jarchow.  
*Locally Convex Spaces*.  
Mathematische Leitfäden. B.G. Teubner, Stuttgart, 1981.

## References III



T. Terzioglu.

Quasinormability and diametral dimension.

*Turkish J. Math.*, 37(5):847–851, 2013.